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An online survey of equestrian headcollar use and safety

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SUMMARY

Background: Headcollars (halters-US) are one of the most commonly used pieces of equestrian “tack”. Despite this, there appears to be minimal information on their use or more importantly, risk factors for injury of horses / handlers.

Objective: To explore headcollar use and safety in equestrians.

Study design: Quantitative cross-sectional survey.

Methods: An online survey (19 questions) exploring headcollar use and safety, was disseminated through equestrian social media. Frequency analysis and multivariable modelling identified how headcollar type was linked to use and injury risk.

Results: Most respondents (88%; n=4786) used headcollars multiple times daily but for short time periods (66%, n=3388, <30 minutes). A horse being injured as a result of wearing a headcollar was reported by 1615 (31%) respondents with 15% of incidents also injuring a person. Fractures (horses) occurred in 134 incidents and 167 equine fatalities were reported. Across all headcollar types, the odds of injury risk increased by 1.7 times (confidence intervals (CI): 1.07 – 2.41, p <0.02) using a headcollar when mucking out. During travelling, headcollar use reduced the odds of risk of injury by 0.7 times (CI: 0.43 – 0.98, p < 0.04). The odds of injury risk reduced when using leather (Odds ratio (OR): 0.8, CI: 0.66 –

30 0.96, $p < 0.01$) or synthetic (OR: 0.8, CI: 0.58 – 0.85, $p < 0.0001$) safety headcollars compared
31 to standard headcollars of the same material. Thematic analysis identified three key
32 themes: 1) need for increased education: fit, safety features, basic horse handling, 2) 'safer'
33 leather headcollars, and 3) increased safety focus required.

34 **Main limitations:** Data were self-reported and may be subject to memory recall errors;
35 online surveys are subject to self-selection bias.

36 **Conclusions:** Increased user knowledge of risk factors for headcollar injury, combined with
37 standardised guidance on how to correctly fit and use headcollars would be beneficial to
38 reduce injury risk.

39 **KEYWORDS:** horse; handling and restraint; injury; husbandry; tack; welfare

40

41

final draft

42 **INTRODUCTION**

43 Headcollars (halters, US) are one of the most used pieces of equestrian “tack”. Despite this,
44 there appears to be little information on their correct use or injury-related risk factors.

45 Headcollar-related injuries can essentially be divided into two categories: pressure-induced
46 lesions of superficial anatomical structures or traumatic injuries to deeper structures as a
47 result of application of high forces, for example, failure of a headcollar to release. Injuries
48 may occur when the headcollar becomes caught on something. Alternatively, injuries may
49 occur when horses are tied-up (including travelling) or being led. The prevalence of such
50 injuries is unknown as they are rarely reported and are often suspected rather than
51 observed.

52 Force-related tissue damage can occur over prominent bony areas with little overlying
53 tissue; as such the head is vulnerable. Paresis of the buccal branch of the facial nerve
54 occasionally occurs under general anaesthesia if the headcollar cheek piece of the
55 dependent side is not sufficiently padded. Facial nerve paralysis in a horse has also been
56 reported followed rope recovery from general anaesthesia (del Barrio *et al*, 2018). More
57 severe injuries have been reported following traumatic headcollar accidents including atlas
58 fracture (Volcholrt, 1972), fracture of the paracondylar process of the occiput (Lischer *et al*.
59 2005) and atlantoaxial subluxation (Nixon and Stashak, 1988). These accidents often involve
60 strangulation-type events when the headcollar becomes caught on an external fixture such
61 as a hook in a stable (Lischer *et al*. 2005). Occasionally these injuries are so severe that the
62 horse is found dead (K Pickles, personal observations).

63 Horse riding and handling are acknowledged to be dangerous activities for humans
64 (Grossman *et al.*, 1978; Chitnavis *et al.*, 1996; Abu-Zidan and Rao, 2003; Ball *et al.*, 2009).
65 Knowledge of specific risk factors is essential to implement strategies for injury prevention
66 (Ingemarson *et al.*, 1989; Hobbs *et al.* 1994). Although equestrian falls were the most
67 common reason for emergency department admission in equestrians over a five-year
68 period, 37% (53/142) of these admissions were associated with injuries acquired during
69 horse handling (Hobbs *et al.* 1994). Additionally, a recent review of injuries in 342
70 equestrians reported 21.3% of injuries to be associated with scenarios involving headcollar
71 use (Carmargo *et al.* 2018). Unmounted injuries were also reported to be more severe than
72 mounted injuries. A review of horse-related injuries in children found that 9/114 patients

73 were injured by being dragged, potentially involving headcollar use (Wolyncewicz *et al.*,
74 2018).

75 The aim of this study was to investigate equine headcollar use and safety using an online
76 survey.

77

78 **MATERIALS & METHODS**

79 *Participants*

80 Participants were recruited online via sharing a link to the survey on selected UK equine-
81 related or discipline-specific social media (Facebook®) groups including, but not limited to,
82 British Dressage, Endurance UK, Eventing UK, Horsepoo (regional). The survey invitation was
83 targeted to include amateur and professional riders competing in either affiliated or
84 unaffiliated competitions in the UK or working professionally in the equine industry e.g. vets,
85 physios, nurses, grooms, etc. Inclusion criteria required participants to be over 18 years of
86 age. The survey was anonymous and no personal data were collected although respondents
87 could optionally provide an email address for entry into a prize draw. To reduce bias, the
88 survey was promoted and disseminated by an independent 3rd party media company (Fox Red
89 Media, Crown House, Loughton IG10 4LF).

90

91 *Survey Design*

92 The study was designed as an online questionnaire (Survey Monkey®) with 17 closed
93 questions, 1 ranking scale question and 1 open free text question (Supplementary file 1). The
94 questionnaire was split into four major sections: participant demographics; headcollar usage;
95 injuries related to headcollar use; factors associated with headcollar choice. The survey
96 employed routing features in relation to whether or not respondents had experienced horses
97 being injured due to headcollars. The draft survey was tested by 10 experienced equestrian
98 researchers and edited to correct any errors before being fully deployed. The survey was live
99 for 35 days and 65% of the responses were obtained within the first 14 days. Respondents
100 were asked:

101 Demographic factors: equestrian activities (e.g. breeding, racing, dressage, transporter, vet,
102 physio, etc), competition level and whether professionally involved in the horse industry
103 (defined as either working full-time or the majority of their income coming from the industry).

104 Headcollar use: how often and how long they used headcollars, reasons for using a headcollar,
105 types of headcollars used and whether a safety device or baler twine was used.

106 Headcollar injuries: experience of a horse being injured as a result of wearing a headcollar,
107 and if yes, the circumstances of the injury (e.g. location, frequency, how caused, severity), the
108 type of headcollar involved and whether it functioned as expected. Respondents were also
109 asked if they were injured in the incident.

110 Headcollar choice factors: e.g. material, fit, durability, safety features, etc.

111

112 **Data analysis**

113 *Descriptive analysis*

114 Data were exported from Survey Monkey™ to Microsoft Excel™ Version 2010 (Redmond, WA,
115 USA). Frequency analysis identified the nature of respondents' equestrian activities ,
116 competition level, and types of headcollar used. Additionally, frequency of headcollar use
117 and experience of a horse being injured related to headcollar use, including details of how
118 the injury occurred, injury type and severity were collated. Respondents ranked
119 characteristics considered important (from 1: most influential to 8: least influential) when
120 choosing a headcollar and an arithmetic median and interquartile range calculated for each
121 factor.

122 Data met non-parametric assumptions, therefore Kruskal Wallis followed by Mann Whitney
123 U post-hoc tests were performed to analyse characteristics influencing choice of leather,
124 synthetic and webbing headcollars. Median rankings for individual factors were examined to
125 identify the direction of differences between disciplines; where median values were the
126 same, mean rank differences obtained from post hoc tests differentiated between disciplines.
127 A Mann Whitney U test was used to compare frequency of injuries in professional and non-
128 professional equestrians. Significance was set at $p < 0.05$.

129

130 *Univariable analysis*

131 Univariable analysis using the dependant variable ‘headcollar injury: yes vs. no’ was
132 performed to establish potential risk across Model A: all headcollar types, Model B: leather
133 headcollars, Model C: synthetic headcollars and Model D: webbing headcollars. A variable
134 with an alpha value of <0.10 was considered eligible for use in building the multivariable
135 models (Bailey *et al.*, 1997). Variables considered to be plausible risk factors that could
136 influence headcollar injury risk were also eligible for inclusion (Parkin *et al.*, 2006).

137

138 *Multivariable analysis*

139 A predictive multivariable logistic regression model was produced, using Statistical Package
140 for the Social Sciences (SPSS) 24, using the dichotomous variable: headcollar injury, yes vs. no
141 across four multivariable models (All headcollar types: Model A; Leather: Model B; Synthetic:
142 Model C; Webbing: Model D). Each model was automatically fitted using a backward
143 elimination stepwise process that excluded variables with a likelihood ratio test significance
144 of $p < 0.05$ (Parkin *et al.*, 2006). For each step in the multivariable model building process the
145 effect of removal of variables was assessed using a likelihood ratio chi-square test of model
146 coefficients ($p < 0.05$) to check that the new model was an improvement over the baseline
147 model. This was done to ensure that variables that had a significant impact on the model were
148 not excluded from further analysis. A Hosmer-Lemeshow goodness of fit test ($p > 0.05$) was
149 used to evaluate the fit of the model produced. The predictive ability of the final model was
150 investigated using receiver operating characteristic (ROC) curve analysis. The risk of a
151 headcollar injury was compared using the odds ratio (OR) and associated 95% confidence
152 intervals (CI).

153 *Thematic analysis*

154 An inductive thematic approach, aligned with a grounded theory methodological framework,
155 was used to analyse responses to the final open question. Inductive content analysis of
156 responses was undertaken utilising tags (‘open-coding’) to create emergent themes (‘focused

157 coding'), using an approach adapted from Lamperd *et al.* (2016) and Braun and Clarke (2006),
 158 to ascertain themes related to headcollar design, use or safety.

159

160 **Results**

161 *Respondent profile*

162 A total of 5,615 respondents completed the questionnaire. The majority of these competed
 163 in dressage, showjumping and eventing, or were pleasure or leisure riders (Table 1).

164 Table 1: Range of equestrian disciplines or equestrian industries in which >2% respondents
 165 participated; respondents could indicate multiple disciplines / industries.

Discipline / Role	Number of respondents	Percentage of sample (n = 5615)
Veterinary surgeon	111	2 %
Veterinary nurse / technician	119	2 %
Racing (Thoroughbred)	166	3 %
Rehabilitation yard	211	4 %
Physiotherapist	223	4 %
Starting/training yard	306	5 %
Driving	322	6 %
Endurance	373	7 %
Trainer / Coach / Instructor	435	8 %
Breeding	487	9 %
Hunting	556	10 %
Showing	902	16 %
Eventing	1223	22 %
Showjumping	1548	28 %
Recreational / leisure riding (did not compete)	2192	39 %
Dressage	2355	42 %

166

167 Of competitive respondents, 32 % (1799 / 5548) competed at local unaffiliated level, 13 %
168 (702 / 5548) at local affiliated, and a further 21% at regional (11 %, 599 / 5548) and national
169 (10 %, 542 / 5548) affiliated level. Only 3 % (145 / 5548) of respondents competed
170 internationally. The majority of respondents (72 %, 3975 / 5511) were not professionally
171 involved with horses or the equestrian industry. Professional respondents included 435
172 trainers / coaches / instructors, 223 physiotherapists, 111 veterinary surgeons, 119 veterinary
173 nurses / technicians and 26 horse transporters.

174 *Headcollar use*

175 The majority of respondents (88 %; 4786 / 5444) used a headcollar multiple times daily (Figure
176 1) for short periods of time (< 30 minutes) (Figure 2) which was compatible with the most
177 commonly cited reasons use: grooming (74 %, 3975 / 5351), tacking up (65 %, 3499 / 5351),
178 leading to and from turnout (95 %, 5085 / 5351), mucking out (14 %, 769 / 5351), and for
179 travelling horses (83 %, 4459 / 5351).

180 Respondents typically owned more than one headcollar (53 %; 2992 / 5645); median \pm IQR:
181 2 \pm 1). Traditional (non-safety) design headcollars were predominately used (80 %, 4248 /
182 5310), with respondents often owning headcollars of different materials; leather was most
183 popular (57 %, 3002 / 5310) followed by synthetic (41 %, 2169 / 5130) and webbing materials
184 (33 %, 1725 / 5310). Rope headcollars were used by 24 % (1289 / 5310) of respondents; 3 %
185 (165 / 5310) used other types of headcollar with the Dually™ training headcollar being the
186 most popular (Monty Roberts, California, USA). Only 20% (1093 / 5310) of respondents used
187 safety headcollars: 5 % (289 / 5310) leather, 12% (618 / 5310) synthetic, 8 % (429 / 5310)
188 webbing. Baling twine was always used between the lead rope and headcollar ring by 41 %
189 (2074 / 5250) of respondents, whilst 42 % (2103 / 5250) never used baling twine, 8 % (385 /
190 5250) occasionally, and 9 % usually (456 / 5250) using twine. A commercial safety tie was used
191 by 2466 respondents; of these, 34 % (832 / 2466) Equi-ping (Safety Release Ltd., Newmarket,
192 UK), 15 % (372 / 2466) Safe-T tie (Safe-T-Tie, Australia), 11 % (263 / 2466) Idolo tether tie
193 (Idolo UK, Axminster, UK) and 41 % (999 / 2466) Quick clip (Bitz Equestrian, UK).

194 Figure 1: Frequency of headcollar use across respondents (n = 5444)

195 Figure 2: Typical duration of headcollar use across respondents (n = 5444)

196 *Factors which influenced headcollar choice*

197 Approximately half of respondents rarely purchased a new headcollar (49 %, n = 2438), 30 %
 198 bought one every 1 - 2 years (n = 1511), 15 % (n = 762) every 6 - 12 months, and 6 % (n = 298)
 199 less than every 6 months. Headcollar fit, durability, material and safety features ranked as the
 200 most important characteristics when choosing a new headcollar, regardless of headcollar type
 201 (Table 2).

202 Table 2: Respondent rating (median \pm IQR) of factors that influence headcollar purchase,
 203 where 1 was most important and 8 least important.

Headcollar type	Appearance	Brand	Colour	Durability	Fit	Material	Price	Safety features
All	5 \pm 3	8 \pm 2	6 \pm 3	4 \pm 3	2 \pm 2	4 \pm 3	5 \pm 3	4 \pm 4
Leather	5 \pm 3	8 \pm 2	6 \pm 3	4 \pm 3	2 \pm 2	3 \pm 3	5 \pm 3	4 \pm 4
Synthetic	5 \pm 3	8 \pm 2	6 \pm 3	4 \pm 3	1 \pm 2	4 \pm 3	4 \pm 3	4 \pm 4
Webbing	5 \pm 3	8 \pm 2	6 \pm 3	4 \pm 2	1 \pm 2	4 \pm 3	5 \pm 3	4 \pm 4

204

205 *Headcollar injuries*

206 A horse being injured whilst wearing a headcollar was reported by 31 % (1615 / 5232) of
 207 respondents (Figure 3). Those professionally involved in the equine industry were significantly
 208 more likely to report an injury than non-professionals ($p = 0.0005$). Increased injuries were
 209 reported by horse transporters 62 % (16 / 26), trainers / coaches / instructors 44 % (193 /
 210 435), veterinarians 40 % (44 / 111) and physiotherapists 52 % (115 / 223) compared to
 211 veterinary nurses / technicians (2%; 2/119). Multiple factors were often related to the injury
 212 occurrence. The majority of injuries (71%, 1148 / 1615) occurred whilst horses were tied up:
 213 58 % (912 / 1576) outside, 34 % (539 / 1576) in the stable, 32 % (511 / 1576) tied to a trailer
 214 or lorry, and 25 % (397 / 1576) when travelling. Furthermore, 23 % (745 / 1615) of injuries
 215 occurred in horses wearing headcollars in the field (not tied up) and 6 % (214 / 1615) when
 216 horses were being led. Where injuries did occur, 39 % (1027 / 2614) were due to the
 217 headcollar getting caught, 39 % (1027 / 2614) were related to the horse pulling backwards,
 218 11 % (283 / 2614) were associated with the horse's foot getting trapped in the headcollar,
 219 and 11 % (295 / 2614) were related to various 'other' reasons. The top three 'other' reasons

220 for injury were horses rubbing with the headcollar on (n = 60), the headcollar being left on
221 too long (n = 47) and incorrect headcollar fit resulting in wounds (n = 39). Cuts were the most
222 common type of injury reported (37 %, 1336 / 3576), followed by bruising (31 %, 1096 / 3576)
223 and abrasions (24 %, 843 / 3576), with fewer fractures (4 %, 134 / 3576) and fatalities (5 %,
224 167 / 3576) (Figure 4). Human injuries occurred in 207 of the headcollar incidents.

225

226 Figure 3: Frequency headcollar related injuries occurred, within respondents who had
227 experienced a headcollar related injury (n = 1615)

228

229 Figure 4: Frequency (once, twice, three times, four times or more than five times) of reported
230 headcollar related injuries as a percentage of total injuries of that type.

231 Injuries occurred across all types and designs of headcollar (Figure 5), but were most frequent
232 in traditional design, synthetic headcollars (2.7, 1.8 and 4 times more frequent than leather,
233 webbing and rope headcollars, respectively). The frequency of injuries was reduced in safety
234 headcollars (leather: 1 %, 14 / 1412; synthetic: 2 %, 35 / 1412; webbing: 2 %, 24 / 1412) than
235 in headcollars used alone (leather: 12 %, 163 / 1412; synthetic: 32 %, 451 / 1412; webbing:
236 18 %, 257 / 2093; rope: 8 %, 116 / 1412) or with baling twine (leather: 6 %, 79 / 1412);
237 synthetic: 13 %, 179 / 1412; webbing: 7 %, 95 / 1412). Respondents reported that generally
238 headcollars involved in injury incidents had behaved as expected e.g. a traditional leather
239 headcollar had broken under pressure and a safety headcollar had opened (leather: 73 % (462
240 / 637), synthetic: 77 % (813 / 1062), webbing: 73 % (450 / 618), rope: 80 % (150 / 188)).

241

242 Figure 5: Frequency of injury within specific headcollar types used by respondents who had
243 experienced a headcollar related injury (n = 1615).

244

245 Thematic analysis identified three higher order themes that respondents felt were related to
246 headcollar design, use and safety: increased human education, use of leather headcollars and
247 a core focus on safety (Figure 6).

248 Figure 6: Researcher derived themes from respondent responses related to headcollar design,
249 use and safety.

250

251 *Univariable analysis*

252 Univariable analysis identified 16 variables, which were taken forward to multivariable model
253 building (Model A): competitive level, compete or not, frequency of headcollar use, use of
254 headcollar: grooming, tacking up, leading, mucking out, travelling, leather headcollar,
255 synthetic headcollar, webbing headcollar, rope headcollar, multiple headcollars, safety
256 headcollar used, and baling twine used. For Models B to D, variables related to headcollar
257 type: leather, synthetic, webbing and rope were excluded prior to model building.

258

259 *Multivariable analysis*

260 *Model A: Injury across headcollar types (Table S1, Supplementary file 2)*

261 Horses belonging to non-competitive respondents were less likely (OR 0.3, CI: 0.22 – 0.42; p
262 = 0.0001) to have a headcollar related injury than those used competitively. Injuries were
263 more likely (OR 1.7, CI: 1.07 – 2.41; p = 0.021) to occur when mucking out and less likely (OR
264 0.7 CI: 0.43 – 0.98; p = 0.04) when travelling. There was reduced odds of injury risk when only
265 one headcollar was used compared to using multiple headcollars (OR 0.71, CI: 0.50 – 0.99, p
266 = 0.05). Whilst headcollar material was significantly associated with injury (p = 0.0001), no
267 specific material type increased the odds of injury risk alone, but this variable was retained as
268 it improved model fit. Hosmer Lemeshow goodness of fit statistics confirmed that the model
269 showed a good fit (p = 0.51). The likelihood ratio chi-square test of model coefficients
270 reported a significance level of p ≤ 0.05 at each step. No significant interaction between
271 variables was found. ROC curve analysis indicated that the predictability of the final model to
272 prevent injury was excellent (ROC: 0.97).

273

274 *Model B: Injury in leather headcollars (Table S2, Supplementary file 2)*

275 Horses wearing leather headcollars competing in affiliated, regional competitions were less
276 likely (OR 0.66, CI: 0.50- 0.86, p = 0.003) to get injured than non-competitive horses, but no

277 differences were found between horses competing at unaffiliated or affiliated level. However,
278 competitive riders were less likely (OR 0.41, CI: 0.34 – 0.48; $p = 0.0001$) to have a horse injured
279 than those that did not compete. The use of a leather safety headcollar reduced the odds of
280 injury risk (OR 0.80, CI: 0.66 – 0.96; $p = 0.014$). Usual use of baling twine increased the odds
281 of injury risk (OR 1.3, CI: 1.08-1.52, $p = 0.006$) compared to always using it, whilst using it
282 sometimes or rarely reduced the odds of risk of injury (OR 0.69 CI: 0.49-0.93, $p = 0.031$; OR
283 0.71 0.53-0.95, $p = 0.021$, respectively). Hosmer Lemeshow goodness of fit statistics
284 confirmed that the model showed a good fit ($p = 0.897$). The likelihood ratio chi-square test
285 of model coefficients reported a significance level of $p \leq 0.05$ at each step. There was a
286 statistically significant two-way interaction between safety headcollar use and competing (F
287 = 4.4; $p = 0.04$) and travelling ($F = 0.9$; $p = 0.002$), respectively. ROC curve analysis indicated
288 that the predictability of the final model was moderate (ROC: 0.64).

289

290 *Model C: Injury in synthetic headcollars (Table S3, Supplementary file 2)*

291 Horses which competed were more likely (OR 4.4, CI: 2.80- 6.77, $p = 0.0001$) to get injured
292 compared to those that did not compete. Using a synthetic safety headcollar reduced the
293 odds of injury risk (OR 0.70, CI: 0.58-0.85, $p = 0.0001$). Using baling twine usually and
294 sometimes reduced the odds of injury risk (OR 0.66, CI: 0.44-0.99, $p = 0.046$; OR 0.71, CI: 0.51-
295 0.98, $p = 0.036$, respectively) compared to always using it. Hosmer Lemeshow goodness of fit
296 statistics confirmed that the model showed a good fit ($p = 0.905$). The likelihood ratio chi-
297 square test of model coefficients reported a significance level of $p \leq 0.05$ at each step. No
298 significant interaction between variables was found. ROC curve analysis indicated that the
299 predictability of the final model was moderate (ROC: 0.64).

300

301 *Model D: Injury in webbing headcollars (Table S4, Supplementary file 2)*

302 Horses which competed were less likely (OR 0.41, CI: 0.33 – 0.50, $p = 0.0001$) to get injured
303 compared to those that did not compete. Horses were more likely to get injured when
304 travelling (OR 1.4, CI: 1.08 – 1.90, $p = 0.013$). Usual use of baling twine increased the odds of
305 injury risk (OR 1.3, CI: 1.04-1.62, $p = 0.021$); in contrast using it sometimes or rarely reduced
306 the odds of injury risk (OR 0.76, CI: 0.47-1.23, $p = 0.019$; OR 0.65, CI 0.51-0.98, $p = 0.036$,
307 respectively). Hosmer Lemeshow goodness of fit statistics confirmed that the model showed

308 a good fit ($p = 0.980$). The likelihood ratio chi-square test of model coefficients reported a
309 significance level of $p \leq 0.05$ at each step. No significant interaction between variables was
310 found. ROC curve analysis indicated that the predictability of the final model was moderate
311 (ROC: 0.64).

312

313 **Discussion**

314 Limitations of the present study: although a wide range of factors related to headcollar use
315 were considered, the current study has some limitations. Data were self-reported and no
316 defined timeframe within which headcollar injuries had occurred was stated, therefore it
317 could be argued that respondents' memories of injury occurrence could be vague, lack
318 specific details and be disorganised (Koss *et al.*, 1996). Dual representation theory suggests
319 that there are two memory systems active during traumatic events: verbally accessible
320 memory (VAM: stimulus information and emotional reactions) and situationally accessible
321 memory (SAM: emotional and physiological reactions) (Brewin and Holmes, 2003). SAM
322 related to events are considered able to be accessed after retrospective reflection through
323 the recovery process (Brewin and Holmes, 2003). Therefore, we are reasonably confident that
324 the respondents' ability to recall memories of equine or human injury related to headcollars
325 was reliable and valid. Emotions have been shown to enhance memory recall, therefore
326 respondents that had experienced a traumatic or severe injury incident may have been more
327 likely to recall details accurately than those who had experienced minor incidents (Erk *et al.*,
328 2003). Whilst our sample was large, it may not be representative of the wider equestrian
329 population. It is also conceivable that we may have encouraged owners with experience of a
330 headcollar related incident using the title "How safe are headcollars" to promote the post
331 online. It should also be noted that options for timeframes related to headcollar use were not
332 all mutually exclusive and therefore some respondents may have had to select a category
333 which did not accurately reflect how they used a headcollar or may have omitted this
334 question.

335 The frequency of almost a third of respondents reporting an equine headcollar injury is
336 concerning, particularly as injuries whilst the horse was tied-up accounted for 70% of the
337 injuries. A further 24% of injuries occurred whilst horses were wearing a headcollar in the
338 field. These are common husbandry practices used by owners often daily, or multiple times

339 per day. The fact that only 20% of respondents used a safety headcollar suggests that horse
340 owners perceive the risk of headcollar-related injury to be low. Injuries sustained were
341 primarily cuts, bruising and abrasions, however, 134 horses sustained a fracture and 167
342 fatalities were reported. Respondents involved in a professional capacity within the
343 equestrian industry reported more injuries than recreational and competitive horse owners.
344 However surprisingly only 8 % (11 / 134), 16 % (21 / 134) and 12 % (16 / 134) of fractures, and
345 7 % (12 / 167), 14 % (23 / 167) and 6 % (10 / 167) of fatalities were reported by veterinarians,
346 equestrian trainers / coaches / instructors and physiotherapists respectively. Whilst case
347 reports detailing severe headcollar-related incidents have been reported (Lischer et al. 2005;
348 Nixon and Stashak, 1988; Volcholrt, 1972), this is the first study to investigate the occurrence
349 of headcollar-related injuries. Within this sample, 31 headcollar injury events were reported
350 per 100 people surveyed which, at face value, appears high. However, it is important to note
351 that no timeframe for when the injuries occurred was collected and that 81% of respondents
352 used headcollars multiple times daily, therefore the true risk of headcollar injury cannot be
353 determined. Given the frequency and severity of headcollar related injuries reported here,
354 further work is warranted to fully understand human- and horse-related risk factors that
355 contribute to headcollar injury.

356
357 Nearly 4 % of respondents had been injured because of a headcollar-related incident; horses
358 were therefore almost 8 times more likely to be injured than the handler/owner in this
359 sample. Only a single question was devoted to human injury and so further interpretation of
360 the circumstances or role of headcollars is not possible. Although falls are widely reported to
361 be the main cause of serious injuries to equestrians (Meredith et al. 2018), Hobbs et al. (1994)
362 reported that 37% of emergency department admissions over a five-year period were related
363 to horses being handled. Similarly, Wolyncewicz et al. (2018) reported that 9/114 paediatric
364 patients were injured by being dragged by a horse.

365
366 Whilst many headcollars, devices and practices (e.g. use of baler twine) are promoted as being
367 “safer”, to date there is little, if any evidence, to suggest this is true. Interestingly, the use of
368 commercial safety devices and irregular use of baling twine did not lessen the frequency of a
369 horse being injured. Currently, there is no recognised safety standard for headcollars and
370 there appears to be no published information on factors such as breaking force of

371 conventional headcollars or opening force of safety headcollars or devices. It is also unclear
372 to what specifications manufacturers are producing headcollars. Similarly, there appears to
373 be no industry approved guidelines for headcollar fit, use, life span or safety checks. The
374 frequency of horse injuries was reduced using safety headcollars compared with standard
375 headcollars and therefore it would appear that these are indeed safer. Although baler-twine
376 loops were always used by 40% of respondents, injuries were more common than in safety
377 headcollars. However, fewer injuries were reported using baler-twine compared with
378 standard leather, synthetic, webbing or rope headcollars alone.

379

380 Across the equestrian sector there are few standardised industry guidelines for tack and
381 equipment despite the importance of correct fit for both horse and rider welfare and
382 performance (McLean and McGreevy, 2010). The Society of Master Saddlers sets standards
383 and oversees the training of saddle fitters who can advise on saddle and bridle fit (Society of
384 Master Saddlers, 2020) and the International Society of Equitation Science have published
385 guidance on noseband tightness (ISES, 2019). Where evidence-based standards do not exist,
386 it prohibits responsible horse owners and riders from applying them. Our results suggest that
387 horse owners and riders would welcome further guidance on the correct fit and effective use
388 of headcollars. The development of evidence-based, standardised industry guidelines for the
389 use of commonly used tack and equipment, including headcollars, would support horse
390 owner and rider education, and could enhance human and equine welfare by reducing injury.

391

392 The incorrect use of equipment, such as headcollars, can result in injury and compromised
393 equine welfare (Jones and McGreevy, 2010). Similarly training flaws within horse-human
394 interactions, such as unsupervised or inappropriate headcollar use, could also result in
395 unintentional equine injuries. Using a headcollar when mucking out increased the injury risk
396 across all headcollar types. This could be related to most respondents not having a
397 professional involvement in the equine sector and not being aware of industry guidance from
398 the British Horse Society to adequately restrain an unfamiliar horse during mucking out, which
399 is standard practice across many equestrian centres. Alternatively, many of the incidents
400 reported here are likely to have occurred when respondents were handling their own horse.
401 Using a safety headcollar reduced injury prevalence, yet despite this and the expressed desire
402 to learn more about safety features, only 20% of respondents currently used one.

403

404 Leather is the traditional material for headcollars and was the most commonly used
405 headcollar in the present survey, followed by synthetic and webbing headcollars. Leather is
406 also anecdotally perceived as being safer as it is imagined to break when required (Williams
407 and Tabor, 2017); however, there appears to be no published data of the breaking strength
408 of leather headcollars. Generally, headcollars involved in injury incidents, regardless of
409 material, did behave as designed. However, the impact of repeated wear and tear on the
410 durability and function of headcollars is unknown. Headcollar fit, durability, material and
411 safety features were ranked as the most important characteristics when purchasing a
412 headcollar, regardless of headcollar type. How to fit and use headcollars correctly were also
413 identified as areas where increased horse owner and rider education was required. Further
414 studies evaluating optimal headcollar fit, alongside investigation of durability and
415 functionality, are required to allow evidence-based decisions for their selection and use
416 (Williams and Marlin, 2020).

417

418 **Conclusion**

419 Headcollars are used multiple times, every day, by most horse owners with an apparently high
420 occurrence of headcollar-related injuries to both horses and handlers and a relatively high
421 number of reported equine fatalities. Based on this sample, safety headcollars appear to
422 reduce the risk of headcollar related injuries to horses or handlers. There is a need for further
423 research relating to headcollar function and industry approved guidelines for headcollar fit
424 and use.

425

426

427 **Conflict of Interest Statement**

428 The study was funded by Equilibrium Products Ltd. Equilibrium Products Ltd had no
429 involvement with nor influence over the survey questions, survey dissemination, the data
430 analysis nor the writing of the paper.

431

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